

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231, on APRIL 19, 2000

TOWNSEND and TOWNSEND and CREW LLP

By

  
Karen A. Hallock



*SECTOR #*  
*#3*  
PATENT

Attorney Docket No. 18563-001110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LOC X. PHAN et al.

Application No.: 09/454,278

Filed: December 3, 1999

For: ATTACHMENT DEVICES AND  
METHODS FOR A DENTAL  
APPLIANCE

Examiner: Unassigned

Art Unit: 3732

TRANSMITTAL LETTER –  
RESPONSE TO NOTICE OF  
MISSING PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date  
Granted dated January 19, 2000, enclosed are the following to be made of record in the above-  
identified application:

- 1) Extension of Time – in duplicate;
- 2) Executed Declaration;
- 3) Executed Power by Assignee;
- 4) Information Disclosure Statement & 1449 w/1 ref.
- 5) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:



Large entity: (a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$ 690.00
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	37- 20 = 17 x \$18.00 =	\$306.00
	8 - 3 = 5 x \$78.00 =	\$390.00
(c)	Missing Parts Surcharge	\$130.00
<b>TOTAL FEES TO BE CHARGED</b>		<b>\$1,516.00</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

*Craig P. Wong*

by Craig P. Wong, Reg. No. 45,231  
for James M. Heslin, Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(650) 326-2400  
Fax (650) 326-2422  
JMH/kh :  
rev. 4/00  
PA 3065368 v1



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/454,278	12/03/99	PHAN	L 18563-001110

020350 0212/0119  
TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO CA 94111

NOT ASSIGNED

3732

DATE MAILED:

01/19/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,586.00.

☒ 1. The statutory basic filing fee is:☒ missing.☐ insufficient.Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).☒ 2. The following additional claims fees are due:\$ 306.00 for 17 total claims over 20.\$ 390.00 for 5 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:☒ is missing or unsigned.☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42,

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).☐ 7. Your filing receipt was mailed in error because your check was returned without payment.☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**Customer Service Center  
Initial Patent Examination Division (703) 308-120209/454,278  
2014/01/19  
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CVRACHA  
FC:101 760.00 CH  
FC:103 306.00 CH  
FC:102 390.00 CH  
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